



DeWitt

Ross & Stevens s.c.  Law Firm

Employee Stock Ownership Plans

Nationwide Service

dewittross.com



MADISON : GREATER MILWAUKEE : MINNEAPOLIS



Our ESOP Law Practice

DeWitt Ross & Stevens and its affiliate DeWitt Mackall Crouse & Moore has handled all aspects of Employee Stock Ownership Plans, from inception to termination and everything in between. We have worked with ESOPs of small businesses (fewer than 25 participants) and very large ESOP companies (with thousands of participants). Because all ESOPs are governed by federal law, our attorneys are able to represent ESOP companies nationwide.

ESOP services we provide include:

- Counseling on fiduciary responsibilities as an ESOP Trustee;
- Preparation and amendment of ESOP plan documents, summary plan descriptions, distribution policies and other plan-related documents;
- Preparation of loan documents related to ESOP exempt loans;
- Counseling on ESOP tax consequences, including S Corp and Section 1042 elections;
- Preparation of IRS ESOP filings for determination letter requests (Form 5300) and formal correction requests through the IRS's correction programs;
- Counseling on ESOPs in third-party merger and acquisition situations; and
- ESOP litigation services.

Our attorneys have developed relationships with other service providers, which are necessary to establish and maintain an ESOP, including valuation experts, record keepers, third party administrators, accounting firms and lenders. We are able to recommend service providers when requested and are also able to work seamlessly with service providers with whom the client already has a relationship.

If you would like more information or want to discuss the feasibility of an ESOP for your company, please contact [Brian L. Anderson](mailto:bla@dewittross.com) at bla@dewittross.com and [608.252.9340](tel:608.252.9340); or [Timothy L. Stewart](mailto:tls@dewittross.com) at tls@dewittross.com and [262.754.2869](tel:262.754.2869).

Finding a Qualified ESOP Attorney Takes More Than Good Luck & Timing.

Our ESOP clients took the opportunity to learn about our firm and stayed with us for the following reasons:

1. We don't "dabble" in ESOPs - we focus on them. With more than 60 ESOP company clients, we are fully aware of the day-to-day and long-term issues ESOP companies experience.
2. We promptly respond to our clients.
3. We give our clients answers they can understand, even when the issues are far from simple.
4. We don't believe in "surprise" invoices. Our clients expect to know not only what our rates are, but also how much projects are going to cost, and we tell them.
5. We are local, with offices in Wisconsin and Minnesota.

About Our Firm

We understand that clients want attorneys who have a track record of success. The success of our clients is our ultimate and enduring goal. Our clients include large corporations and family-owned businesses, associations, individuals, municipalities and others who seek our advice in more than 30 different areas of the law. We proudly serve clients locally and beyond from our offices in Madison and Metropolitan Milwaukee, Wisconsin, and Minneapolis, Minnesota.

Our team of attorneys embodies the qualities one desires when choosing a law firm, and this commitment has been recognized by our clients and broadly across the marketplace. In fact, our firm was named "Best Law Firm" in Wisconsin by the readers of Corporate Report magazine. We also consistently earn national recognition as one of the Best Law Firms by U.S. News Media Group and Best Lawyers®, where we were ranked as a First-Tier Law Firm, the highest ranking, in nearly 20 practice areas. In addition, many of our lawyers are recipients of distinguished honors in their profession.

More Information

For more extensive information on our firm and attorneys, contact info@dewittross.com or visit dewittross.com.